



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Albert K. Chin
APPLICATION NO.: 10/087,089
FILING DATE: February 27, 2002
TITLE: Temporary Arterial Shunt and Method
EXAMINER: Unassigned
GROUP ART UNIT: 3761
ATTY. DKT. NO.: 80121-06652

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Dated: 8/27/02

By: A.C. Smith

Albert C. Smith, Reg. No.: 20,355

COMMISSIONER FOR PATENTS
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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449/PTO-8A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

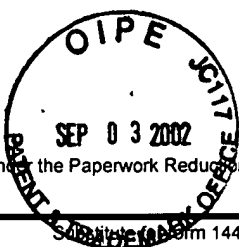
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ALBERT K. CHIN

Dated: 8/27/02

By: A. C. Smith

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Small Business Form 1449A/PTO

Complete if Known

Application No.	10/087,089
Filing Date	February 27, 2002
First Named Inventor	Albert K. Chin
Art Unit	3761
Examiner Name	Unassigned
Attorney Docket Number	80121-06652

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A	US-6,409,739 B1	06-25-2002	Nobles et al.
	B	US-6,395,015 B1	05-28-2002	Borst et al.
	C	US-6,171,319	01-09-2001	Nobles et al.
	D	US-5,944,730	08-31-1999	Nobles et al.
	E	US-5,826,251	10-20-1998	Kiendl
	F	US-5,766,220	06-16-1998	Moenning
	G	US-5,749,883	05-12-1998	Halpern
	H	US-5,700,277	12-23-1997	Nash et al.
	I	US-5,690,674	11-25-1997	Diaz
	J	US-5,630,833	05-20-1997	Katsaros et al.
	K	US-5,620,461	04-15-1997	Muijs Van De Moer et al.
	L	US-5,593,422	01-14-1997	Muijs Van de Moer et al.
	M	US-5,545,178	08-13-1996	Kensey et al.
	N	US-5,496,332	03-05-1996	Sierra et al.
	O	US-5,413,571	05-09-1995	Katsaros et al.
	P	US-5,383,897	01-24-1995	Wholey
	Q	US-5,350,399	09-27-1994	Erlebacher et al.
	R	US-5,342,393	08-30-1994	Stack
	S	US-5,312,435	05-17-1994	Nash et al.
	T	US-5,258,000	11-02-1993	Gianturco
	U	US-5,222,974	06-29-1993	Kensey et al.
	V	US-5,192,301	03-09-1993	Kamiya et al.
	W	US-5,122,122	06-16-1992	Allgood
	X	US-5,108,421	04-28-1992	Fowler
	Y	US-4,852,568	08-01-1989	Kensey

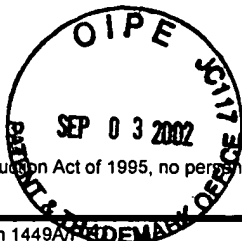
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80121/06652/DOCS/1293309.1



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
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				Examiner Name	Unassigned
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U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	Z	US-4794,928	01-03-1989	Kletschka
	AA	US-4,744,364	05-17-1988	Kensey

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	BB	EP 0 894 475 A1	02-03-1999	MEDTRONIC, INC.	
	CC	EP 0 544 485 A1	06-02-1993	Cook Incorporated	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	

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TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	Application Number	10/087,089	
	Filing Date	February 27, 2002	
	First Named Inventor	Albert K. Chin	
	Group Art Unit Number	3761	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	6*	Attorney Docket Number	80121-06652

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REMARKS: *Does not include page count of references.

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Signature:		
Attorney/Reg. No.:	Albert C. Smith/Reg. No.: 20,355	Dated: 8/27/02

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